

# Contents

<i>Table of Cases</i>	xiii
<i>Table of Agreements, Charters, Committees, Conventions, Declarations, Resolutions, Treaties</i>	xxiv
<i>Table of Statutes</i>	xxvii
<i>Table of Abbreviations</i>	xl
<i>Annual Reports on the Human Rights Committee</i>	xliv
<i>States Parties to the International Covenant on Civil and Political Rights</i>	xlv
<i>Introduction to Paperback Edition</i>	xlvii
Introduction	i
<b>I. The Origins, Drafting, and Significance of the International Covenant on Civil and Political Rights</b>	3 ✓
A. Origins	3
B. Drafting	4
C. One Covenant or Two	11
D. Progressive or Immediate Obligations	12
E. International Measures of Implementation	13
F. Self-Determination	14
G. The Territorial (Colonial) Clause, the Federal Clause, Reservations	16
H. The Positions of the Superpowers	16
I. An Outline of the ICCPR	18
J. The Significance of the ICCPR in International Law	20
<b>2. The Human Rights Committee</b>	44
✓ Introduction	44
A. Membership	44
B. Election	45
C. Organization of Work	47

D. Functions	
E. Relations with the Secretariat	50
F. The Status of the Human Rights Committee	51
G. The Nature of the Human Rights Committee	52
	53
3. The System of Periodic Reporting	62
Introduction	62
A. The National Reports	63
1. The initial reports	63
2. Supplementary (or Additional) reports	64
3. Periodic reports	66
4. The periodicity of reports	67
B. The Submission of Reports	71
C. Sources of Information	74
D. The Process of Consideration: Initial and Supplementary Reports	79
1. The first round	80
2. The second round	81
E. The Process of Consideration: Periodic Reports	85
1. Second periodic reports	85
2. Third periodic reports	88
F. The Committee's Jurisdiction under Article 40	89
G. The Role of the ECOSOC	96
H. The Annual Report of the HRC to the General Assembly	97
I. Appraisal	98
	120
4. The Optional Protocol to the ICCPR	120
Introduction	120
A. The Origins, Drafting, and Significance of the Optional Protocol to the ICCPR	121
B. The Structure and Terms of the OP to the ICCPR	124
C. Status of the OP; Status of Communications under the OP	126
D. The Procedural Functioning of the OP	127
1. The receipt and transmission of communications	127
2. General provisions regarding the consideration of communications	130

3. Access to the HRC	132
4. Legal representation and legal aid	134
5. The determination of admissibility: procedures	134
6. The consideration of communications on the merits: procedures	141
7. Evidence	143
8. Final views under the OP	150
E. The Interpretation and Application of the OP	156
1. The function of the HRC under the OP	156
2. The interpretation of the ICCPR and the OP	158
3. Incompatibility with the provisions of the Covenant	160
4. The same matter is not being examined under another procedure of the international investigation or settlement	182
5. The individual has exhausted all available domestic remedies	187
6. Abuse of the right of submission	197
7. Anonymous communications	197
F. The Optional Protocol: Significance, Appraisal, and Prospects	198
1. The status of the individual	198
2. The HRC under the OP	198
3. The effectiveness of the OP for individuals	202
5. Article 1	247
Introduction	247
A. Article 1 under the Reporting Process	249
Article 1(1)	249
Article 1(2)	250
Article 1(3)	251
B. Article 1 under the Optional Protocol	254
Appraisal	256
6. Article 2	269
Introduction	269
A. Article 2 under the Reporting Process	270
Article 2(1)	270

D. Functions	50
E. Relations with the Secretariat	51
F. The Status of the Human Rights Committee	52
G. The Nature of the Human Rights Committee	53
<b>3. The System of Periodic Reporting</b>	<b>62</b>
Introduction	62
A. The National Reports	63
1. The initial reports	63
2. Supplementary (or Additional) reports	64
3. Periodic reports	66
4. The periodicity of reports	67
B. The Submission of Reports	71
<del>C. Sources of Information</del>	<del>74</del>
D. The Process of Consideration: Initial and Supplementary Reports	79
1. The first round	80
2. The second round	81
E. The Process of Consideration: Periodic Reports	85
1. Second periodic reports	85
2. Third periodic reports	88
✓F. The Committee's Jurisdiction under Article 40	89
✓G. The Role of the ECOSOC	96
H. The Annual Report of the HRC to the General Assembly	97
I. Appraisal	98
<b>4. The Optional Protocol to the ICCPR</b>	<b>120</b>
Introduction	120
A. The Origins, Drafting, and Significance of the Optional Protocol to the ICCPR	121
B. The Structure and Terms of the OP to the ICCPR	124
- C. Status of the OP; Status of Communications under the OP	126
- D. The Procedural Functioning of the OP	127
1. The receipt and transmission of communications	127
2. General provisions regarding the consideration of communications	130

3. Access to the HRC	132
4. Legal representation and legal aid	134
5. The determination of admissibility: procedures	134
6. The consideration of communications on the merits: procedures	141
7. Evidence	143
8. Final views under the OP	150
E. The Interpretation and Application of the OP	156
1. The function of the HRC under the OP	156
2. The interpretation of the ICCPR and the OP	158
3. Incompatibility with the provisions of the Covenant	160
4. The same matter is not being examined under another procedure of the international investigation or settlement	182
5. The individual has exhausted all available domestic remedies	187
6. Abuse of the right of submission	197
7. Anonymous communications	197
F. The Optional Protocol: Significance, Appraisal, and Prospects	198
1. The status of the individual	198
2. The HRC under the OP	198
3. The effectiveness of the OP for individuals	202
<b>5. Article 1</b>	<b>247</b>
Introduction	247
A. Article 1 under the Reporting Process	249
Article 1(1)	249
Article 1(2)	250
Article 1(3)	251
B. Article 1 under the Optional Protocol	254
Appraisal	256
<b>6. Article 2</b>	<b>269</b>
Introduction	269
A. Article 2 under the Reporting Process	270
Article 2(1)	270

Article 2(2)	272
Article 2(3)	279
B. Article 2 under the Optional Protocol	281
Article 2(1)	281
Article 2(2)	285
Article 2(3)	285
Appraisal	287
<b>7. Article 4</b>	<b>301</b>
Introduction	301
A. Article 4 under the Reporting Process	302
Article 4(1)	302
Article 4(2)	306
Article 4(3)	307
The United Kingdom and Article 4	308
B. Article 4 under the Optional Protocol	311
Appraisal	314
✓ <b>8. Article 6</b>	<b>328</b>
Introduction	328
A. Article 6 under the Reporting Process	329
B. Article 6 under the Optional Protocol	336
Appraisal	346
<b>9. Article 7</b>	<b>362</b>
Introduction	362
A. Article 7 under the Reporting Process	362
B. Articles 7 and 10 under the Optional Protocol	367
Appraisal	380
<b>10. Article 14</b>	<b>395</b>
Introduction	396
A. Article 14 under the Reporting Process	396
Article 14(1)	396

Article 14(2)	403
Article 14(3)	405
Article 14(4)	410
Article 14(5)	411
Article 14(6)	412
Article 14(7)	412
<b>B. Article 14 under the Optional Protocol</b>	<b>413</b>
Introduction	413
The scope of article 14	414
Fair hearing: competent, independent, and impartial tribunal	416
Equality before courts and tribunals	418
Public hearing and public judgment	418
Article 14(2)	419
Article 14(3)(a)	420
Article 14(3)(b)	421
Article 14(3)(c)	422
Article 14(3)(d)	425
Article 14(3)(e)	429
Article 14(3)(f)	429
Article 14(3)(g)	429
Article 14(4)	430
Article 14(5)	430
Article 14(6)	434
Article 14(7)	435
Appraisal	437
<b>II. Article 19</b>	<b>459</b>
Introduction	459
<b>A. Article 19 under the Reporting Process</b>	<b>460</b>
Article 19(1)	460
Article 19(2), (3)	461
<b>B. Article 19 under the Optional Protocol</b>	<b>465</b>
Appraisal	469

<b>12. Article 20</b>	480
Introduction	480
A. Article 20 under the Reporting Process	480
Article 20(1)	481
Article 20(2)	483
The General Comment on Article 20	484
B. Article 20 under the Optional Protocol	490
Appraisal	491
<b>13. Appraisal and Prospectus</b>	498
<i>Appendices</i>	509
I. The International Covenant on Civil and Political Rights	509
II. The Optional Protocol to the International Covenant on Civil and Political Rights	525
III. Model Communication under the Optional Protocol	529
IV. The Second Optional Protocol to the International Covenant on Civil and Political Rights	531
<i>Bibliography</i>	536
<i>Index</i>	569